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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: 10/22/04 Name: Gustavo Siller, Jr. Signature: [Signature]

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Junichi Umehara  
Appln. No.: 09/612,407  
Filed: July 6, 2000  
For: DIRECT ELECTRONIC  
PURCHASE OF ONLINE  
INFORMATION

Examiner: Garg, Yogesh C.  
Art Unit: 3625

Attorney Docket No: 9366-203

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Check for \$180; Supplemental Information Disclosure Statement, PTO-1449 and required references  
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
Total					\$			Total \$	

Fee payment:

- ☒ A check in the amount of \$180 is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Gustavo Siller, Jr. (Reg. No. 32,305)

Date 10/22/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Junichi Umehara  
Appln. No.: 09/612,407  
Filed: July 6, 2000  
For: DIRECT ELECTRONIC  
BUSINESS TRANSACTION  
Attorney Docket No: 9366-203

Examiner: Yogesh C. Garg  
Art Unit: 3625

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant hereby cites the following reference(s):

DOCUMENT NUMBER	DATE	NAME
3,718,906	02/1973	Lightner
3,990,710	11/1976	Hughes
5,611,066	03/1997	Keele et al.
5,633,839	05/1997	Alexander et al.
5,734,719	03/1998	Tsevdos et al.
5,918,213	06/1999	Bernard et al.
5,949,688	09/1999	Montoya et al.
6,020,977	02/2000	Kim, Seon-Gyoung
6,041,703	03/2000	Salisbury et al.
6,243,725	06/2001	Hempleman et al.
6,270,176	08/2001	Kahle, Rolf D.
2004/0021907	02/2004	Truc et al.
WO 99/18518	04/1999	Polash
WO 99/21186	04/1999	Iida

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

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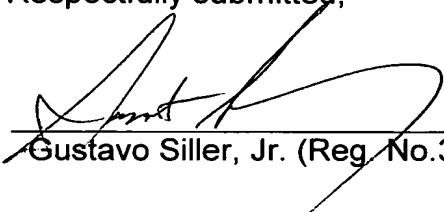
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By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicant has enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

10/22/04  
Date

  
\_\_\_\_\_  
Gustavo Siller, Jr. (Reg. No.32,305)



FORM PTO 449 <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	SERIAL NO. 09/612,407	CASE NO. 9366-203
	FILING DATE 7/6/2000	GROUP ART UNIT 3625
	APPLICANT(S): Junichi Umehara	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	3,718,906	02/1973	Lightner		
	B2	3,990,710	11/1976	Hughes		
	B3	5,611,066	03/1997	Keele et al.		
	B4	5,633,839	05/1997	Alexander et al.		
	B5	5,734,719	03/1998	Tsevdos et al.		
	B6	5,918,213	06/1999	Bernard et al.		
	B7	5,949,688	09/1999	Montoya et al.		
	B8	6,020,977	02/2000	Kim, Seon-Gyoung		
	B9	6,041,703	03/2000	Salisbury et al.		
	B10	6,243,725	06/2001	Hempleman et al.		
	B11	6,270,176	08/2001	Kahle, Rolf D.		
	B12	2004/0021907	02/2004	Truc et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	B13	WO 99/18518	04/1999	WIPO		X	
	B14	WO 99/21186	04/1999	WIPO		X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.